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08-13-61 A

PTO/SB/05 (03-01)

Approved for use through 10/31/2002. OMB 0651-0032

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## UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 103.1019.10

First Inventor Hitz

Title File Access Control in a Multi-Protocol File Server

Express Mail Label No. EL734815865US

### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1.  Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)

2.  Applicant claims small entity status.  
See 37 CFR 1.27.

3.  Specification  
(preferred arrangement set forth below) [Total Pages 39]  
 -Descriptive title of the Invention  
 -Cross Reference to Related Applications  
 -Statement Regarding Fed sponsored R & D  
 -Reference to sequence listing, a table,  
 or a computer program listing appendix

-Background of the Invention  
 -Brief Summary of the Invention  
 -Brief Description of the Drawings (if filed)  
 -Detailed Description  
 -Claim(s)  
 -Abstract of the Disclosure

4.  Drawing(s) (35 U.S.C. 113) [Total Sheets 1]

5. Oath or Declaration [Total Pages 8]

a.  Newly executed (original or copy)  
 b.  Copy from a prior application (37 CFR 1.63 (d))  
 (for continuation/divisional with Box 18 completed)  
 i.  DELETION OF INVENTOR(S)  
 signed statement attached deleting inventor(s)  
 named in the prior application, see 37 CFR 1.63(d)(2)  
 and 1.33(b).

6.  Application Data Sheet. See 37 CFR 1.76

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Box Patent Application  
Washington, DC 20231

7.  CD-ROM or CD-R in duplicate, large table or  
Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission  
(if applicable, all necessary)

a.  Computer Readable Form (CRF)  
 b. Specification Sequence Listing on:  
 i.  CD-ROM or CD-R (2 copies); or  
 ii.  paper  
 c.  Statements verifying identity of above copies

### ACCOMPANYING APPLICATION PARTS

9.  Assignment Papers (cover sheet & document(s))  
 10.  37 CFR 3.73(b) statement  Power of  
 (when there is an assignee) Attorney  
 11.  English Translation Document (if applicable)  
 12.  Information Disclosure Statement (IDS)/PTO-1449  Copies of IDS  
 Citations  
 13.  Preliminary Amendment  
 14.  Return Receipt Postcard (MPEP 503)  
 (Should be specifically itemized)  
 15.  Certified Copy of Priority Document(s)  
 (if foreign priority is claimed)  
 16.  Nonpublication Request under 35 U.S.C. 122  
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35  
 or its equivalent.  
 17.  Other Technical Appendix; Certificate of Mailing

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation  Divisional  Continuation-in-part (CIP)

of prior application No. 09/035,234

Prior application information: Examiner Nadeem, Iqbal

Group/Art Unit: 2184

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

### 18. CORRESPONDENCE ADDRESS

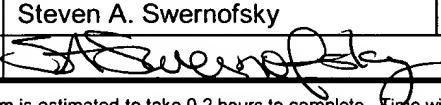
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Signature  Date 8/10/01

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Attorney Docket 103.1019.10

**Certificate of Mailing (37 C.F.R. § 1.10)**

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Arlette Malhas, Paralegal

Printed Name: \_\_\_\_\_

**This application includes the following items:**

Utility Patent Application Transmittal SB/05

2 Page(s) Application Data Sheet  
13 Page(s) Preliminary Amendment  
2 Page(s) Application Data Sheet  
32 Page(s) of Specification  
6 Page(s) of Claims  
1 Page(s) of Abstract  
1 Sheet(s) of Drawings  
8 Page(s) Declaration(s)  
1 Page(s) Power of Attorney  
6 Page(s) Technical Appendix + Cover Page  
11 Page(s) Assignment(s) with Recordation Cover Sheet

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